PFC CONSULTING LIMITED

(A wholly owned subsidiary of Power Finance Corporation Limited)

Empanelment of Consulting Organizations

PFC Consulting Limited (PFCCL) is a Consulting Organization providing consultancy services to the power sector since Oct.'99, making available expertise to various entities in order to strengthen the power sector and thereby bring about improvements in financial, technical and institutional management.

The services have been categorized as under:

Area Code	Particulars Particulars
1 (a)	Strategy and Policy
1 (b)	Human Resources Plan
1©	Organizational Development Plan
1(d)	Preparation of systems/rules/procedures/manuals
2	Financial Services
2 (a)	Drafting, vetting, reviewing and evaluation of RFQ,RFP and Agreements for PPP projects
2 (b)	Drafting, vetting, reviewing and evaluation of RFQ,RFP and Agreements for Other projects
2 (c)	Commercial support and due diligence
2 (d)	Logistics and Supply Chain
2 (e)	Transaction Services
2 (f)	Financial Restructuring of Entities
2 (g)	Resource Mobilization (Domestic and Foreign currency)
2 (h)	Mergers and Acquisition
2 (i)	Asset Valuation
2 (j)	Taxation
2 (k)	Insurance Advisory/ Lender's Insurance Advisor
2 (1)	Actuarial Valuation
2 (m)	Accounts Function
2 (n)	Internal Audit
3	Project planning/ structuring/ conducting technical studies/ preparation of Pre-Feasibility Report/Detailed Project Report
3 (A)	Conducting Technical studies and preparation of PFR/DPR for obtaining, inter-
	alia, Environmental clearance for Thermal Power Projects
3 (B)	Conducting Technical studies and preparation of PFR/DPR for obtaining, inter-
	alia, Environmental clearance for Hydro Power Projects
3 (C)	Conducting Technical studies and preparation of DPR/ Feasibility Report for
(1)	obtaining inter-alia Environmental clearance for New and Renewable Energy
(i)	Sites election
(ii)	Preparation of Pre-feasibility Report
(iii)	Preparation of plant layout

Area Code	Particulars	
(iv)	Geo Technical Studies	
(v)	Socio-economic Studies/ Socio-impact assessment/ Corporate Social	
	Responsibility Plans/ Resettlement and Rehabilitation plans	
(vi)	Topographical Survey	
(vii)	Area Drainage Studies	
(viii)	Hydrographical/ Hydrological Surveys	
(ix)	Environment Impact Assessment Studies/Environment Management Plans	
(x)	Preparation of DPR	
(xi)	Studies related to Forest and for obtaining clearance	
(xii)	Marine and Port Studies	
(xiii)	Conducting Public hearing	
(xiv)	Survey and Land Acquisition	
(xv)	Water availability and transportation(Coal and POL)Studies	
(xvi)	Consultancy Services for FGD	
(xvii)	Lender's Independent Engineer	
(xviii)	Any other activities/studies(please mention)	
3 (D)	Power System Planning and Forecasting	
(i)	Demand Supply Analysis and Forecasting	
(ii)	Short, Medium and Long term	
3 (E)	Transmission	
(i)	Power Transmission-Route Survey, analysis and DPR preparation	
(ii)	Power Transmission–Obtaining Clearances/Approvals	
(iii)	Network studies and load flow studies	
(iv)	Smart Grid Technology	
(v)	Lender's Independent Engineer	
3 (F)	Distribution	
(i)	Network studies and load flow studies	
(ii)	Project monitoring	
(iii)	Audit, inspection of materials and workmanship	
(iv)	Preparation of DPR, GPS survey	
(v)	Any other activities/studies(please mention)	
(vi)	Smart Grid Technology	
3 (G)	Coal Block/ Mines Studies Tanagraphical gurgery DCBS exploration & CB preparation	
(i)	Topographical survey, DGPS, exploration & GR preparation	
(ii)	Preparation of Mining plan, feasibility report and cost of production of coal	
(iii)	Audit, inspection of materials and workmanship	
(iv)	Forest land diversion	
(v)	Any other activities/studies(please mention)	
3 (H)	Engineering, Procurement and Construction for	
(i)	Thermal Power Projects	
(ii)	Hydro Power Projects	
(iii)	New and Renewable Energy Projects	
(iv)	Transmission Projects	

Area Code	Particulars Particulars
(v)	Distribution Projects
4	Corporate Communications
4(a)	Corporate film making and Audio visual publicity
4(b)	Event management
5	Information Management System
5(a)	Website/software design and maintenance
5(b)	IT Enabled Services
6	Legal and contracts related services
6(a)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for
	PPP projects
6(b)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for
	other projects
6(c)	Legal opinion on Land Acquisition Act, Electricity Act 2003, FEMA, and any
	other Act ,rules / procedures/ documents of PFCCL etc.
7	Manpower agency providing technical professionals in
	Generation/Transmission/Distribution/any other areas of Power Sector
8	Architectural and Interior Designer

Consulting Organizations would normally be empanelled with PFCCL for a block of 5 (five) Years. During this period of 5 years, empanelment process would, however, be kept open and applications from Consulting Organizations would be accepted and processed on a quarterly basis. The last date of validity of empanelment would remain same for all the Consulting Organizations irrespective of their date of empanelment during the said block of 5 years (w.e.f June 12, 2018).

Consultancy firms desirous of empanelling with PFCCL in one or more of the above mentioned 8 main areas and sub-areas may apply giving the particulars as per forms I to VI

The application for empanelment/re-empanelment should be accompanied with a Demand Draft or Banker's Cheque of Rs.10,000/- towards <u>non-refundable processing fee</u>, payable to "PFC Consulting Limited" at New Delhi, along with Vendor Form (i.e. Form VI). However, the firms already empanelled with Power Finance Corporation Ltd. (PFC Ltd.) (Only for Lender's Independent Engineer, Lender's Insurance Advisor and Valuer) would be exempted from submission of empanelment Fee of Rs. 10,000/- along with application (on production of valid empanelment letter of PFCC Ltd.).

Foreign firms not having an office in India may also apply with payment of Processing Fee in Indian Rupees through their respective Embassy–Trade/Commerce wing.

The last date for receipt of the requests is <u>upto the last working date of every Quarter</u>.

Please note:

- 1. Firms not having GSTN Registration or not having applied for GSTN Registration before the last date of Receipt of the requests will be rejected unless exempted by Law.
- 2. PFCCL reserves the right to accept or reject any other request for empanelment also without assigning any reason. Firms empanelled will be informed suitably.

Note: The Application in Hard Copy along with Bank Draft/ Banker's Cheque should be sent by Hand/Post/Courier. A soft copy should also be sent by e-mail or in a compact disc.

Apply to:

EVP PFC Consulting Limited 9thFloorA wing, Statesman House Connaught Place, New Delhi-110001 **Tel:**(011)23443905 **Fax:**(011)23443990

Email: pfcconsulting@pfcindia.com

FORM-I

APPLICATION:

To,

EVP
PFC Consulting Limited
9th Floor, a Wing,
Statesman House,
Connaught Place,
New Delhi-110001

Dear Sir,

We wish to apply for empanelment/re-empanelment with PFCCL as a consulting Organization as per details enclosed

1	Name of the Organization			
2	Address, Contact Nos.& E-Mail with Name and Mobile No. of Contact Person			
3	Year of Establishment			
4	Total Personnel (Professionals only)	Number		
5	Annual Turnover (last 3 financial years)	FY(Rs. In lakhs)	FY(Rs. In lakhs)	FY
6	Latest Audited Annual Accounts		:To be enclosed	d
7	GSTN Registration (copy of certificate or proof of application submitted)		:To be enclosed	d
8	Registration with SEBI for Merchant Banking Firms / With ICAI for CA Firms or other statutory registrations with a copy of the same, as applicable for the services offered		:To be enclosed	d

9	Specific Area of Expertise with Code(s), applied for empanelment.	:To be enclosed as per Form-II
10	Range of Services offered	: To be enclosed separately in not more than two pages.
11	Key Personnel (Professionals only)	Number (Brief of qualifications / experience of each of the key personnel to be enclosed as per Form - III).
12	Summary of Professional Experience of the Organization, Separately for each Area Code Applied For	:To be enclosed as per Form– IV
13	Brief of Major Consultancy Assignments Undertaken, in last three financial years for each Area Code Separately. Assignments should be chosen to highlight diversity of experience, and highlighting multiple assignments with similar experience should be avoided	:To be enclosed as per Form–V
14	Vendor Detail	:To be enclosed as per Form–VI

We affirm that the information provided is true, correct, and complete, nothing has been concealed there of. We undertake that in case any information provided is found incorrect at any time hereafter, our application/empanelment stands rejected / terminated as the case may be.

^{*}Authorized by Board resolution or any other document certifying authorization of person

FORM-II

Specific Area of expertise with Code(s) applied for empanelment

Area Code	Particulars	Areas Applied for		
		(Please tick		
1 (a)	Strategy and Policy		•	
1 (b)	Human Resources Plan			
1©	Organizational Development Plan			
1(d)	Preparation of systems/rules/procedures/manuals			
2	Financial Services			
2 (a)	Drafting, vetting, reviewing and evaluation of RFQ,RFP and Agreements for PPP projects			
2 (b)	Drafting, vetting, reviewing and evaluation of RFQ,RFP and Agreements for Other projects			
2 (c)	Commercial support and due diligence			
2 (d)	Logistics and Supply Chain			
2 (e)	Transaction Services			
2 (f)	Financial Restructuring of Entities			
2 (g)	Resource Mobilization (Domestic and Foreign currency)			
2 (h)	Mergers and Acquisition			
2 (i)	Asset Valuation			
2 (j)	Taxation			
2 (k)	Insurance Advisory/ Lender's Insurance Advisor			
2 (1)	Actuarial Valuation			
2 (m)	Accounts Function			
2 (n)	Internal Audit			
3	Project planning/ structuring/ conducting technical studies/ preparation of Pre-Feasibility Report/Detailed Project Report	3A	3B	3C
3 (A)	Conducting Technical studies and preparation of PFR/DPR for obtaining, inter- alia, Environmental clearance for Thermal Power Projects			
3 (B)	Conducting Technical studies and preparation of PFR/DPR for obtaining, inter-alia, Environmental clearance for Hydro Power Projects			
3 (C)	Conducting Technical studies and preparation of DPR/ Feasibility Report for obtaining inter-alia Environmental clearance for New and Renewable Energy			
(i)	Sites election			
(ii)	Preparation of Pre-feasibility Report			
(iii)	Preparation of plant layout			
(iv)	Geo Technical Studies			

Area Code	Particulars	Areas Applied for (Please tick the one applied for)
(v)	Socio-economic Studies/ Socio-impact assessment/ Corporate Social Responsibility Plans/ Resettlement and Rehabilitation plans	
(vi)	Topographical Survey	
(vii)	Area Drainage Studies	
(viii)	Hydrographical/ Hydrological Surveys	
(ix)	Environment Impact Assessment Studies/Environment Management Plans	
(x)	Preparation of DPR	
(xi)	Studies related to Forest and for obtaining clearance	
(xii)	Marine and Port Studies	
(xiii)	Conducting Public hearing	
(xiv)	Survey and Land Acquisition	
(xv)	Water availability and transportation(Coal and POL)Studies	
(xvi)	Consultancy Services for FGD	
(xvii)	Lender's Independent Engineer	
(xviii)	Any other activities/studies(please mention)	
3 (D)	Power System Planning and Forecasting	
(i)	Demand Supply Analysis and Forecasting	
(ii)	Short, Medium and Long term	
3 (E)	Transmission	
(i)	Power Transmission-Route Survey, analysis and DPR preparation	
(ii)	Power Transmission-Obtaining Clearances/Approvals	
(iii)	Network studies and load flow studies	
(iv)	Smart Grid Technology	
(v)	Lender's Independent Engineer	
3 (F)	Distribution	
(i)	Network studies and load flow studies	
(ii) (iii)	Project monitoring Audit, inspection of materials and workmanship	
(iv)	Preparation of DPR, GPS survey	
(v)	Any other activities/studies(please mention)	
(vi)	Smart Grid Technology	
3 (G)	Coal Block/ Mines Studies	
(i)	Topographical survey, DGPS, exploration & GR preparation	
(ii)	Preparation of Mining plan, feasibility report and cost of production of coal	

Area Code	Area Code Particulars	Areas Applied for (Please tick the one applied for)
(iii)	Audit, inspection of materials and	(I lease tick the one applied for)
(111)	workmanship	
(iv)	Forest land diversion	
(v)	Any other activities/studies(please mention)	
3 (H)	Engineering, Procurement and Construction	
	for	
(i)	Thermal Power Projects	
(ii)	Hydro Power Projects	
(iii)	New and Renewable Energy Projects	
(iv)	Transmission Projects	
(v)	Distribution Projects	
4	Corporate Communications	
4(a)	Corporate film making and Audio visual	
	publicity	
4(b)	Event management	
5	Information Management System	
5(a)	Website/software design and maintenance	
5(b)	IT Enabled Services	
6	Legal and contracts related services	
6(a)	Drafting, vetting, reviewing and evaluation of	
	RFQ, RFP and Agreements for PPP projects	
6(b)	Drafting, vetting, reviewing and evaluation of	
	RFQ, RFP and Agreements for other projects	
6(c)	Legal opinion on Land Acquisition Act,	
	Electricity Act 2003, FEMA, and any other Act	
	,rules/procedures/documents of PFCCL etc.	
7	Manpower agency providing technical	
	professionals in Generation/ Transmission/	
	Distribution/ any other areas of Power Sector	
8	Architectural and Interior Designer	

FORM-III BRIEF CVs OF KEY PERSONNEL (NOT MORE THAN 5)

:
:
:
:
:
:

responsibilities)

Consultancy assignments worked on in the Power Sector and in Infrastructure sector along with, title of assignment, clients name, location & scope of work in not more than two lines each.

FORM-IV

SUMMARY OF PROFESSIONAL EXPERIENCE OF THE FIRM (Enclose Details Separately for Each Area Code Applied For)

In years	:
Collaborations/JVs (if any)*	:
Areas of Professional experience	:

Experience in India

- No. of Assignments undertaken in Power Sector :
- List of assignments with clients name & location against each assignment,
 Duration in Months.:
- No. of assignments undertaken in Infrastructure sector (Excluding Power):
- List of assignments with clients name & location of assignment,:
 Duration in months

Experience in Abroad:

- No. of Assignments undertaken in Power Sector:
- List of assignments with clients name & location against each assignment,
 Duration in Months.:
- No. of assignments under taken in Infrastructure sector: (Excluding Power)
- List of assignments with clients name & location of assignment,:
 Duration in months

Summary:

- Total No. of assignments under taken in India in all sectors. :
- Total No. of assignments under taken a broad in all sectors. :

^{*}Please Enclose Details of the Collaboration Agreement

FORM-V

MAJOR CONSULTANCY ASSINGMENTS UNDERTAKEN:

(Enclose Details Separately for Each Area Code Applied For)

Not more than a total of 6 assignments undertaken in last 3 financial years (fill separately for each of the 6 assignments). Assignments should be chosen to highlight diversity of experience. Highlighting multiple assignments with similar experience should be avoided.

Name of assignment	:
Name of Client	:
Location of Assignment	:
No. and Names of personnel deployed	:
Originally agreed time to complete the Assignment in months	:
Actual time taken to complete the Assignment in Months	:
Scope of work of assignment in detail (may attach sheets)	:
Deliverables of the assignment	:

FORM-VI

Vendor Form

Ref. No(To be filled by Account Department)	Date:
Manager (F&A)	

Manager (F&A)
PFC Consulting Ltd.
New Delhi.

All details to be submitted in Capital Letters and all fields are mandatory to be filled.

S.No.	ails to be submitted in Capital Letters and all fields are Particulars	Details
A	General Details	
1.	Name	
2.	Address (Line 1)	
3.	Address (Line 2)	
4.	Address (Line 3)	
5.	City	
6.	State	
	(From where goods/service to be supplied)	
7.	Pin	
8.	Country	
9.	PAN No. (Copy to be enclosed)	
10.	Mobile No.	
11.	Email ID	
12.	Recipient State where goods/services to be supplied	
	(As per Place of Supply provision in GST)	
13.	Constitution of Business (Proprietor, HUF,	
	Partnership, LLP, Pvt/Public Ltd Company,	
	Society/Club/Trust/AOP, Foreign Co & Govt Dept,	
	others)	
14.	Whether MSME registered?	Yes/No
		If Yes, please attach MSME
		Registration certificate
15.	Whether Government entity?	Yes/No
	If Yes, please mention nature of government	
	(Central, State, Board, Ministry, etc.)	
16.	GST registration	
a.	*If Yes, then please mention GSTIN & attach GST	a. Yes/No
	registration certificate.	
b.	*Whether Registered under composition scheme or	
	not.	b. Yes/No
17.	Specify description of goods/ nature of service	
18.	HSN Code / SAC	
19.	Remarks, if any	
B.	Details for payment through RTGS/NEFT	
1.	Name in Bank Account	
2.	Bank Account No.	
3.	Type of Account (Saving/Current/Other)	
4.	Bank Name	
5.	Branch Name& Address	

6.	IFSC Code	

Note: A cancelled cheque/Passbook copy of above mentioned account is to be enclosed.